

B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc,

Case No. 08-13555 (JMP)

**TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

HARTL Maria Luise Eleonore Rosina  
Name of Transferee

Union Bancaire Privée, UBP SA  
Name of Transferor

Name and Address where notices to transferee should be sent:  
Rikkestrasse 6, 85055 Ingolstadt,  
Germany

Court Claim # (if known): 545221.01  
Amount of Claim: \$42,573.17  
Date Claim Filed: 10/29/2009

Phone: +49 173 728 3392 / hri28655@gmail.com  
Last Four Digits of Acct #: \_\_\_\_\_

Phone: +41 58 819 3533  
Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee payments should be sent (if different from above):  
UBP SA, Bahnhofstrasse 1, 8027 Zurich,  
Switzerland / UBPCHZZ / CH60086570070B7470812

Phone: +41 58 819 3533 / corpor@ubp.ch  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: HARTL Maria Luise Eleonore Rosina  
Transferee/Transferee's Agent

Date: 08/17/2021 Maria Luise

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*





UNION BANCAIRE PRIVÉE

**EVIDENCE OF TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **UNION BANCAIRE PRIVEE, UBP SA** ("Transferor") unconditionally and irrevocably transferred to **HARTL Maria Luise Eleonore Rosina** all of its right, title, interest claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **545221.01**) in nominal amount of **USD 42'573.17** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
**THIS 08/18/2021.**

**UNION BANCAIRE PRIVEE, UBP SA**

A blue ink signature consisting of a stylized "v" and "ii".

Securities department  
Managing Director

A blue ink signature consisting of a stylized "RS".

Securities department  
Associate



UNION BANCAIRE PRIVÉE

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN / Description</b>	<b>Court Claim</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Claim Unsecured</b>	<b>Allowed Unsecured</b>
ISIN XS0213629487  Lehman Brothers due 09.03.2009	545221.01	29th October 2009	Lehman Brothers Treasury BV	USD 42'573.17	N/A